

Audit & Governance Committee



Date & time
Wednesday, 12
December 2018
at 10.30 am

Place
Members' Conference
Room, County Hall,
Kingston upon
Thames, Surrey KT1
2DN

Contact
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Chief Executive
Joanna Killian



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This meeting will be held in public. If you would like to attend and you have any special requirements, please contact Joss Butler on 020 8541 9702.

Members

Mr David Harmer (Chairman), Mr Keith Witham (Vice-Chairman), Mr Edward Hawkins, Dr Peter Szanto, Mr Will Forster and Mr Stephen Spence

Ex Officio:

Mr David Hodge CBE (Leader of the Council), Mr John Furey (Deputy Leader), Mr Tony Samuels and Mrs Helyn Clack (Chairman of the Council)

AGENDA

1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

2 MINUTES OF THE PREVIOUS MEETING - 27 SEPTEMBER 2018

(Pages 1
- 6)

To agree the minutes as a true record of the meeting.

3 DECLARATIONS OF INTEREST

All Members present are required to declare, at this point in the meeting or as soon as possible thereafter

- (i) Any disclosable pecuniary interests and / or
- (ii) Other interests arising under the Code of Conduct in respect of any item(s) of business being considered at this meeting

NOTES:

- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest
- As well as an interest of the Member, this includes any interest, of which the Member is aware, that relates to the Member's spouse or civil partner (or any person with whom the Member is living as a spouse or civil partner)
- Members with a significant personal interest may participate in the discussion and vote on that matter unless that interest could be reasonably regarded as prejudicial.

4 QUESTIONS AND PETITIONS

To receive any questions or petitions.

Notes:

1. The deadline for Member's questions is 12.00pm four working days before the meeting (*6 December 2018*).
2. The deadline for public questions is seven days before the meeting (*5 December 2018*).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

5 RECOMMENDATIONS TRACKER

(Pages 7
- 10)

To review the Committee's recommendations tracker.

6 TREASURY MANAGEMENT MID YEAR REPORT

(Pages
11 - 22)

This report summarises the council's treasury management activity during the first half of 2018/19, as required to ensure compliance with CIPFA's Code of Practice for Treasury Management. The report also covers the council's Prudential and Performance Indicators for the first half of 2018/19, in accordance with the requirements of the CIPFA Prudential Code.

7 INTERNAL AUDIT PROGRESS REPORT - QUARTER 2 (01/07/18 - 30/09/18) (Pages 23 - 44)

The purpose of this progress report is to inform members of the work completed by Internal Audit between 1 July 2018 and 30 September 2018.

The original annual plan for Internal Audit is contained within the Internal Audit Strategy and Annual Plan 2018-19, which was approved by Audit and Governance Committee on 12 April 2018.

Along with a regular update on Internal Audit performance, this progress report also includes detail of changes to the annual plan with effect from this quarter to allow for a reduction in the resources available to Internal Audit.

8 2017/18 AUDIT FINDINGS REPORT AND ANNUAL STATEMENT OF ACCOUNTS FOR S. E. BUSINESS SERVICES LTD, SURREY CHOICES LTD & HALSEY GARTON PROPERTY LTD (Pages 45 - 184)

This report provides the Audit & Governance Committee with the outcome and findings of the external audit of the 2017/18 financial statements of S.E.Business Services Ltd, Surrey Choices Ltd and Halsey Garton Property Ltd.

9 EXTERNAL AUDIT UPDATE REPORT (Pages 185 - 202)

This paper provides the Committee with a report on Grant Thornton's progress in delivering their responsibilities as the council's external auditors. The paper also includes a summary of emerging national issues and developments.

10 EXTERNAL AUDIT UPDATE REPORT - SUPPLEMENTARY VALUE FOR MONEY FINDINGS REPORT 2017/18 (Pages 203 - 224)

This paper provides the Committee with a supplementary report issued by the council's external auditors, Grant Thornton, on the council's Value for Money arrangements.

11 DATE OF NEXT MEETING

The next meeting of Audit & Governance Committee will be on 7 February 2018.

Joanna Killian
Chief Executive
Published: 4 December 2018

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